Pre-Qualification Eligibility Application

Provide as much detail as possible to assist us in evaluating your experience and knowledge. *** Complete ALL sections. If any areas are not applicable, insert N/A to acknowledge that field.**

Full legal name *		**Phone ***	

Current Position *		
☐ Accountant	☐ Financial Planner	☐ Tax Agent

Current Position Details *						
Name of current business						
Business website URL						
Current job role title		**Time in position**	Years:		Months:	
Job role description (If you have a job role description from your company, please upload this as well)						
Previous Position Details (only provide if in your current role less than 2 years)						
Previous job role title		**Time in position**	Years:		Months:	
Previous job role description (If you have a job role description from your previous employment, please upload this as well)						

Financial Services Industry (FNS) History *
List any relevant qualifications, memberships and certifications
Type (copy/paste) the URL hyperlink of your professional LinkedIn profile. Do NOT insert a screenshot. For example: https://www.linkedin.com/in/jeff-mazzini-8bb2b315

Professional Development Evidence	
• I have completed at least 20 hours of professional development in the last 12 months.	Yes ☐ No ☐
• I can provide a statement outlining my PD activities over the last 12 months.	Yes ☐ No ☐
• I have recently managed the professional development of at least two other staff members and can provide a qualified third party to attest to this.	Yes ☐ No ☐
Professional Relationships Evidence	
• I can provide testimonials from two professionals with whom I have built business relationships – one from a financial services professional and one from a third-party referrer (i.e. banker, broker, builder, solicitor, agent). Note: These relationships do not need to be paid referrers.	Yes ☐ No ☐
• I am able to provide third party statements from a qualified person who has known me professionally for the past two years, and who can attest to my financial services industry knowledge, compliance and regulation, and maintaining client relationships experience.	Yes ☐ No ☐
• I actively prospect for new clients from my own database and externally.	Yes ☐ No ☐